



Dkt. 0575/69587-A-PCT-US/JPW/GJG/BJA/ML

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Nakanishi et al.

Serial No. : 10/579,162

International

Filing Date : November 9, 2004

For : SEPARATION OF GINKGOLIDE AND BILOBALIDE FROM G.

BILOBA

1185 Avenue of the Americas New York, New York 10036 April 25, 2007

Mail Stop Amendment Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. §1.56, applicants direct the Examiner's attention to the following items which are listed on the attached Form PTO-1449 (Exhibit A). Items 1-21 are U.S. Patents or U.S. Patent Application Publications. As permitted by 37 C.F.R. 1.98(a)(2)(ii), no copies of these items are included herewith. Copies of items 22-58 are attached hereto as Exhibits 1-37.

- 1. U.S. Patent No. 4,981,688, issued January 1, 1991, Ayroles et al.;
- U.S. Patent No. 5,389,370, issued February 14, 1995, O'Reilly et al.;
- 3. U.S. Patent No. 5,399,348, issued March 21, 1995, Schwabe;

Serial No.: 10/579,162

International Filing Date: November 9, 2004

- 4. U.S. Patent No. 5,466,829, issued November 14, 1995, Park et al.;
- 5. U.S. Patent No. 5,512,286, issued April 30, 1996, Schwabe;
- 6. U.S. Patent No. 5,599,950, issued February 4, 1997, Teng;
- 7. U.S. Patent No. 6,030,621, issued February 29, 2000, De Long et al.;
- 8. U.S. Patent No. 6,117,431, issued September 12, 2000, Ramazanov et al.;
- 9. U.S. Patent No. 6,143,725, issued November 7, 2000, Vasella et al.;
- 10. U.S. Patent No. 6,174,531, issued January 16, 2001, Zhang et al.;
- 11. U.S. Patent No. 6,187,314, issued February 13, 2001, Xie et al.;
- 12. U.S. Patent No. 6,221,356, issued April 24, 2001, Junsheng;
- 13. U.S. Patent No. 6,274,621, issued August 14, 2001, Drieu;
- 14. U.S. Patent No. 6,328,999, issued December 11, 2001, Schwabe;
- 15. U.S. Patent No. 6,590,109, issued July 8, 2003, Lichtblau et al.;

Serial No.: 10/579,162

International Filing Date: November 9, 2004

- 16. U.S. Patent No. 6,884,451, issued January 18, 2005, Lichtblau et al.;
- 17. U.S. Patent No. 7,145,021, issued December 5, 2006, Stromgaard et al.;
- 18. U.S. Application Publication No. 2003-0194370, published October 16, 2003, Stromgaard et al.;
- 19. U.S. Application Publication No. 2003-0225052, published December 4, 2003, Stromgaard et al.;
- 20. U.S. Application Publication No. 2005-0119336, published June 2, 2005, Nakanishi et al.;
- 21. U.S. Application Publication No. 2005-0136136, published June 23, 2005, Lichtblau et al.;
- 22. U.S. Patent Application No. 11/634,429, filed on December 5, 2006, Stromgaard et al. (Exhibit 1);
- 23. DE Patent No. 21 17 429 issued October 12, 1972 to Willmar Schwabe (Exhibit 2);
- 24. UK Patent No. GB 2 288 599 A, issued November 25, 1995 to Cazaux et al. (Exhibit 3);
- 25. PCT International Publication No. WO 93/02204, published February 4, 1993, on behalf of Han-Dok Remedia Ind. Co., Ltd. (Exhibit 4);
- 26. PCT International Publication No. WO 99/52911, published

Serial No.: 10/579,162

International Filing Date: November 9, 2004

Page: 4

on October 21, 1999 (Pietri et al.) (Exhibit 5);

- 27. PCT International Publication No. WO 02/083158, published on October 24, 2002, Societe De Conseils De Recherches et D'Applications Scientifiques (Exhibit 6);
- 28. PCT International Publication No. WO 03/082185 A1, published on October 9, 2003 (Kristian Stromgaard et al.) (Exhibit 7);
- 29. PCT International Publication No. WO 03/006040 A1, published January 23, 2003, Lichtblau et al. (Exhibit 8);
- 30. PCT International Publication No. WO 05/021496, published on March 10, 2005 (Koji Nakanishi et al.) (Exhibit 9);
- 31. PCT International Publication No. WO 05/046829, published on May 26, 2005 (Koji Nakanishi et al.) (Exhibit 10);
- 32. PCT International Publication No. WO 05/092324 (PCT/US05/009417), published on October 6, 2005 (Vitolo et al.) (Exhibit 11);
- 33. PCT International Publication No. WO 06/083366, published on August 10, 2006 (Koji Nakanishi et al.) (Exhibit 12);
- 34. PCT International Publication No. WO 07/002410, published on January 4, 2007 (Koji Nakanishi et al.) (Exhibit 13);
- 35. Ahlemeyer, B. et al., "Pharmacological Studies Supporting The Therapeutic Use of Ginkgo Biloba Extract For Alzheimer's Disease", PMID: 13130383 (2003) (Exhibit 14);

Serial No.: 10/579,162

International Filing Date: November 9, 2004

- 36. Corey, E.J. & Su, W.G., "Total Synthesis of a C15 Ginkgolide, Bilobalide", J. Am. Chem. Soc., 1987, 109, 7534-7536 (Exhibit 15);
- 37. Corey, E.J. et al., "Total Synthesis of Ginkgolide Bilobalide", J. Am. Chem. Soc., 1988, 110, 649-651 (Exhibit 16);
- 38. Corey, E.J. & Ghosh, A.K., "Total Synthesis of Ginkgolide A", Tetrahedron Lett., 1988, 29, 3205-3206 (Exhibit 17);
- 39. Corey, E.J. & Gavai, A.V., "Simple Analogs of Ginkglolide B Which Are Highly Active Antagonists of Platelet Activating Factor", <u>Tetrahedron Lett.</u>, 1989, <u>30</u>, 6959-6962 (Exhibit 18);
- 40. Corey, E.J. et al., "Enantioselective Total Synthesis of Ginkgolide Derivatives Lacking the Tert-Butyl Group, an Essential Structural Subunit for Antagonism of Platelet Activating Factor", <u>Tetrahedron Lett.</u>, 1991, <u>32</u>, 4623-4626 (Exhibit 19);
- 41. Hu, L. et al., "Chemistry of Ginkgolides: Structure-Activity Relationship As PAF Antagonists", <u>Pure Appl.</u>
  Chem., 1999, 71, 1153-1156 (Exhibit 20);
- 42. Hu, L. et al., "Alkyl and Alkoxycarbonyl Derivatives of Ginkgolide B: Synthesis and Biological Evaluation of PAF Inhibitory Activity", <u>Bioorg. Med. Chem.</u>, 2000, <u>8</u>, 1515-1521 (Exhibit 21);

Serial No.: 10/579,162

International Filing Date: November 9, 2004

- 43. Hu, L. et al., "New Products From Alkali Fusion of Ginkgolides A And B", <u>J. Asian Nat. Prod. Res.</u>, 2000, <u>2</u>, 103-110 (Exhibit 22);
- 44. Hu, L. et al., "Synthesis And Biological Activity of Amide Derivatives of Ginkgolide A", <u>J. Asian Nat. Prod.</u>
  Res., 2001, <u>3</u>, 219-227 (Exhibit 23);
- 45. Jaracz et al.; "Ginkgolides: Selective Acetylations, Translactonization, and Biological Evaluation", <u>J. Org.</u> Chem., 2002, 67 (13): 4623-4626 (Exhibit 24);
- 46. Lang, Q. et al. (2001) "Selective dissolution and one step separation of terpene trilactones in ginkgo leaf extracts for GC-FID determination," Talanta 54: 673-680 (Exhibit 25);
- 47. McKenna, DJ et al., "Efficacy, safety, and use of ginkgo biloba in clinical and preclinical applications", PMID 11565403, 2003 (Exhibit 26);
- 48. Supplementary European Search Report issued September 30, 2005 in connection with Application No. 02748132.4 (Exhibit 27);
- 49. International Search Report issued 9/12/02 in connection with PCT/US02/22101 (Exhibit 28);
- 50. International Search Report issued on 9/2/03 in connection with Application No. PCT/US03/12651 (Exhibit 29);

Serial No.: 10/579,162

International Filing Date: November 9, 2004

- 51. International Search Report issued 3/17/05 in connection with Application No. PCT/US04/27671 (Exhibit 30);
- 52. International Search Report issued 1/3/07 in connection with Application No. PCT/US06/24492 (Exhibit 31);
- 53. International Preliminary Examination Report issued 4/21/03 in connection with PCT/US02/22101 (Exhibit 32);
- 54. Tanaka, K. et al. (2005) "Unique Reactivity of α-Alkoxy Ginkgolide Lactones to Nucleophilic Reagents: Preparation of New Lactol Derivatives", Bull. Chem. Soc. Jpn., 78:1843-1850 (Exhibit 33);
- 55. Tanaka, K. et al. (2005) "Preparation of Ginkgolide and F-seco-ginkgolide Lactols: the Unique Reactivity of α-hydroxy Lactones toward NaBH4", Tetrahedron Letters, 46:531-534 (Exhibit 34);
- 56. Vogensen, S.B. et al. (2003) "Preparation of 7-Substituted Ginkgolide Derivatives: Potent Platelet Activating Factor (PAF) Receptor Antagonists", J. Med. Chem., 46:601-608 (Exhibit 35);
- 57. International Search Report issued on 3/22/07 in connection with PCT/US05/42647 (Exhibit 36); and
- 58. Written Opinion of the International Searching Authority issued on 3/22/07 in connection with PCT/US05/42647 (Exhibit 37).

Serial No.: 10/579,162

International Filing Date: November 9, 2004

Page: 8

This Supplemental Information Disclosure Statement is being 37 C.F.R. submitted under §1.97(b) and supplements information disclosure statement filed by applicants on May 11, in connection with the above-identified application. Applicants request that the Examiner review the items listed and make them of record in the subject application.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorneys invite the Examiner to telephone them at the number provided below.

No fee is deemed necessary in connection with the filing of this Supplemental Information Disclosure Statement. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Amendment Commissioner for Patents

P.O. Box 1450 Alexandria, VA

Gary J. Gershik Reg. No. 39 992

/John P./White Registration No. 28,678

Gary J. Gershik

Registration No. 39,992 Attorneys for Applicants

Cooper & Dunham LLP

1185 Avenue of the Americas New York, New York 10036

(212) 278-0400

# **EXHIBIT A**



SIGNATURE

### U.S. Department of Commerce Patent and Trademark Office

Application Number	10/579,162				
Filing Date	ng Date November 9, 2004				
First Named Inventor	Koji Nakanashi et al.				
Art Unit					
Examiner Name					
Attorney Docket No.	69587-A-PCT- US/JPW/GJG/BJA/ML				

## INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

Examiner Initials	Cite No.1	Document Number Number-Kind Code <sup>2 (If known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		4,981,688	01-01-1991	Ayroles et al.
		5,389,370	02-14-1995	O'Reilly et al.
		5,399,348	03-21-1995	Schwabe
		5,466,829	11-14-1995	Park et al.
		5,512,286	04-30-1996	Schwabe
		5,599,950	02-04-1997	Teng
		6,030,621	02-29-2000	De Long et al.
		6,117,431	09-12-2000	Ramazanov et al.
		6,143,725	11-07-2000	Vasella et al.
		6,174,531	01-16-2001	Zhang et al.
		6,187,314	02-13-2001	Xie et al.
		6,221,356	04-24-2001	Junsheng
		6,274,621	08-14-2001	Drieu
		6,328,999	12-11-2001	Schwabe
		6,590,109	07-08-2003	Lichtblau et al.
		6,884,451	01-18-2005	Lichtblau et al.
		7,145,021	12-05-2006	Stromgaard et al.
		2003-0194370	10-16-2003	Stromgaard et al.
		2003-0225052	12-04-2003	Stromgaard et al.
		2005-0119336	06-02-2005	Nakanashi et al.
		2005-0136136	06-23-2005	Lichtblau et al.
		11/634,429	12-05-2006	Stromgaard et al.

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds of Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Inter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English Language Translation is attached.

DATE CONSIDERED

Applicants: Koji Nakanashi et al.

Serial No.: 10/579,162 Filed: November 9, 2004

Exhibit A

Form PTO-1449 U.S. Department of Com		of Commerce	Application Number	10/579,162					
Patent and Tradema				Filing Date	November 9, 2004				
		<u> </u>	First Named Inventor	Koji Nakanashi e al.					
INFORI	MAT	TION DISCLOSURE STATEMEN	Art Unit						
(Use sev	eral	sheets if necessary)		Examiner Name					
			Attorney Docket No. 69587-A-PC US/JPW/GJG						
		FOREIGN PA	ATENT DOCUMI	ENTS		-			
Examiner Initials	Cite No.1				T <sup>6</sup>				
		DE 21 17 429	10-12-1972	Schwabe					
		GB 2 228 599	11-25-1995	Cazaux et al.					
		WO 93/02204	02-04-1993	Han-Dok Remedia Ind. Co., Ltd.					
		WO 99/52911	10-21-1999	Pietri et al.					
		WO 02/083158	10-24-2002	Societe De Co Recherches et D'A Scientifi	Applications				
		WO 03/082185	10-09-2003	Stromgaard					
		WO 03/006040	01-23-2003	Lichtblau	et al.				
		WO 05/021496	03-10-2005	Nakanashi	et al.				
		WO 05/046829	05-26-2005	Nakanashi	et al.				
		WO 05/092324	10-06-2005	Vitolo et	al.				
		WO 06/083366	08-10-2006	Nakanashi	et al.				
		WO 07/002410	01-04-2007	Nakanashi	et al.	<del> </del>			
		NON PATENT LI	ΓERATURE DOC	UMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL L	ETTERS), title of th	e article (when appropriate	e), title of the item	T <sup>2</sup>			
· · · · · · · · · · · · · · · · · · ·	110.	o. l (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.							
		Ahlemeyer, B. et al., "Pharmacological Studies Supporting The Therapeutic Use of Ginkgo Biloba Extract For Alzheimer's Disease", PMID: 13130383 (2003)							
	Corey, E.J. & Su, W.G., "Total Synthesis of a C15 Ginkgolide, Bilobalide", <u>J. Am. Chem. Soc.</u> , 1987, <u>109</u> , 7534-7536								
Corey, E.J. et al., "Total Synthesis of Ginkgolide Bilobalide", J. Am. Chem. Soc., 1988, 110, 649-651									
	nkgolide								

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'Applicant is to place a checkmark here if English language Translation is attached.

EXAMINER

#### Application Number 10/579,162 Form PTO-1449 **U.S. Department of Commerce** November 9, 2004 Filing Date Patent and Trademark Office Koji Nakanashi et First Named Inventor al. INFORMATION DISCLOSURE STATEMENT Art Unit Examiner Name (Use several sheets if necessary) 69587-A-PCT-Attorney Docket No. US/JPW/GJG/BJA/ML NON PATENT LITERATURE DOCUMENTS T<sup>2</sup> Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Examiner Initials No.1 (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. Corey, E.J. & Gavai, A.V., "Simple Analogs of Ginkglolide B Which Are Highly Active Antagonists of Platelet Activating Factor", Tetrahedron Lett., 1989, 30, 6959-6962 Corey, E.J. et al., "Enantioselective Total Synthesis of Ginkgolide Derivatives Lacking the Tert-Butyl Group, an Essential Structural Subunit for Antagonism of Platelet Activating Factor", Tetrahedron Lett., 1991, 32, 4623-4626 Hu, L. et al., "Chemistry of Ginkgolides: Structure-Activity Relationship As PAF Antagonists", Pure Appl. Chem., 1999, 71, 1153-1156 Hu, L. et al., "Alkyl and Alkoxycarbonyl Derivatives of Ginkgolide B: Synthesis and Biological Evaluation of PAF Inhibitory Activity", Bioorg. Med. Chem., 2000, 8, 1515-1521 Hu, L. et al., "New Products From Alkali Fusion of Ginkgolides A And B", J. Asian Nat. Prod. Res., 2000, 2, 103-110 Hu, L. et al., "Synthesis And Biological Activity of Amide Derivatives of Ginkgolide A", J. Asian Nat. Prod. Res., 2001, 3, 219-227 Jaracz et al.; "Ginkgolides: Selective Acetylations, Translactonization, and Biological Evaluation", J. Org. Chem., 2002, 67 (13): 4623-4626 Lang, Q. et al. (2001) "Selective dissolution and one step separation of terpene trilactones in ginkgo leaf extracts for GC-FID determination," Talanta 54: 673-680 McKenna, DJ et al., "Efficacy, safety, and use of ginkgo

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'Applicant is to place a checkmark here if English language Translation is attached.

biloba in clinical and preclinical applications", PMID

DATE CONSIDERED

11565403, 2003

**EXAMINER** 

SIGNATURE

### 10/579,162 Form PTO-1449 Application Number **U.S. Department of Commerce** Filing Date November 9, 2004 Patent and Trademark Office Koji Nakanashi et First Named Inventor al. INFORMATION DISCLOSURE STATEMENT Art Unit Examiner Name (Use several sheets if necessary) 69587-A-PCT-Attorney Docket No. US/JPW/GJG/BJA/ML NON PATENT LITERATURE DOCUMENTS Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Initials No.1 (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. Supplementary European Search Report issued September 30, 2005 in connection with Application No. 02748132.4 International Search Report issued 9/12/02 in connection with PCT/US02/22101 International Search Report issued on 9/2/03 in connection with Application No. PCT/US03/12651 International Search Report issued 3/17/05 in connection with Application No. PCT/US04/27671 International Search Report issued 1/3/07 in connection with Application No. PCT/US06/24492 International Preliminary Examination Report issued 4/21/03 in connection with PCT/US02/22101 Tanaka, K. et al. (2005) "Unique Reactivity of $\alpha$ -Alkoxy Ginkgolide Lactones to Nucleophilic Reagents: Preparation of New Lactol Derivatives", Bull. Chem. Soc. Jpn., 78:1843-1850 Tanaka, K. et al. (2005) "Preparation of Ginkgolide and F-seco-ginkgolide Lactols: the Unique Reactivity of $\alpha$ -hydroxy Lactones toward NaBH4", Tetrahedron Letters, 46:531-534 Vogensen, S.B. et al. (2003) "Preparation of 7-Substituted Ginkgolide Derivatives: Potent Platelet Activating Factor (PAF) Receptor Antogonists", J. Med. Chem., 46:601-608 International Search Report issued on 3/22/07 in connection with PCT/US05/42647 Written Opinion of the International Searching Authority issued on 3/22/07 in connection with PCT/US05/42647

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'Applicant is to place a checkmark here if English language Translation is attached.

DATE CONSIDERED

EXAMINER

SIGNATURE